

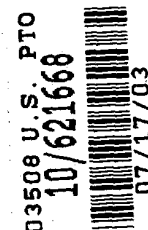
**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9236-5DV

DATE: July 17, 2003

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER  
AND FEE TRANSMITTAL FORM (37 CFR § 1.53(b))**



Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR § 1.53(b) is:

- ☐ a patent application  
☐ a Continuation    ☒ a Divisional    ☐ a Continuation-in-Part (CIP)    Application of prior application no.: 09/995,019; filed November 26, 2001, which is a CIP of prior application no.: 09/602,414, filed June 23, 2000, now U.S. Patent No. 6,545,316, and also a CIP of prior application no.: 09/377,006, filed August 18, 1999, now U.S. Patent No. 6,388,286, which is a CIP of prior application no.: 09/178,745, filed October 26, 1998, now U.S. Patent No. 5,998,833, the disclosures of which are incorporated herein by reference in their entirety.  
☐ and claims the benefit of Provisional Application No. \_\_\_\_\_; filed \_\_\_\_\_.

Inventor(s) or Application Identifier:

**Bantval Jayant Baliga – Raleigh, North Carolina**

Entitled:        **VERTICAL MOSFETS HAVING TRENCH-BASED GATE ELECTRODES  
WITHIN DEEPER TRENCH-BASED SOURCE ELECTRODES AND METHODS  
OF FORMING SAME**

**Correspondence Address:**



**20792**

PATENT TRADEMARK OFFICE

Enclosed are:

1. ☒ Application Transmittal Letter and Fee Transmittal Form (*A duplicate is enclosed for fee processing*)
2. ☒ 47 pages of Specification (including 32 claims)
3. ☒ 20 sheets of Formal Drawings (35 USC 113)
4. ☒ Oath or Declaration
  - a. ☐ newly executed (*original or copy*)
  - b. ☒ copy from prior application (37 CFR 1.63(d) (*for continuation/divisional*) [Note Box 6 Below]
  - c. ☐ DELETION OF INVENTOR(S) (*Signed statement deleting inventor(s) named in the prior application*)
5. ☐ Application Data Sheet. See 37 CFR 1.76
6. ☒ Incorporation By Reference (*useable if box 4b is checked*)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Assignment papers (cover sheet(s) and document(s))
9. ☐ Applicant claims small entity status. See 37 CFR § 1.27.
10. ☒ Information Disclosure Statement and PTO-1449
11. ☒ Preliminary Amendment (*Please enter all claim amendments prior to calculating the filing fee.*)
12. ☐ English Translation Document
13. ☐ Certified Copy of Application No. ; Filed
14. ☐ Sequence Listing/ Sequence Listing Diskette
  - a. ☐ computer readable copy
  - b. ☐ paper copy
  - c. ☐ statement in support
15. ☐ An Associate Power of Attorney
16. ☒ Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
17. ☐ Other:

The fee has been calculated as shown below:

|  | Column 1<br>No. Filed | Column 2<br>No. Extra | Small Entity<br>Rate Fee | Large Entity<br>Rate Fee |
|--|-----------------------|-----------------------|--------------------------|--------------------------|
| BASIC FEE  |                       |                       | \$375.00                 | \$ 750.00                |
| TOTAL CLAIMS   | 9 - 20 =              | 0                     | x 09 = \$                | x 18 = \$ 0              |
| INDEP CLAIMS   | 2 - 3 =               | 0                     | x 42 = \$                | x 84 = \$ 0              |
| <input type="checkbox"/> MULTIPLE Dependent Claims Presented       |                       |                       | + 140 = \$               | + 280 = \$               |
| If the difference in Col. 1 is less than zero, Enter "0" in Col. 2 |                       |                       | Total \$                 | Total \$750.00           |

- ☒ A check in the amount of **\$750.00** to cover the filing fee is enclosed.
- ☐ A check in the amount of \$ is enclosed to cover the filing fee, PLUS the Assignment Recordation fee (\$40.00).
- ☐ Please charge my Deposit Account No. 50-0220 in the amount of \$
- ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees associated with this communication to Deposit Account No. 50-0220:
  - a. ☒ Additional filing fees under 37 CFR 1.16 for presentation of extra claims.
  - b. ☒ Additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

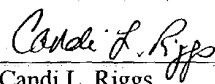
  
Grant J. Scott  
Registration No. 36,925

#### CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 318421320 US

Date of Deposit: July 17, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

  
Candi L. Riggs